

V.R. Machine

A Patent Pending Invention for the Volcker Rule Compliance



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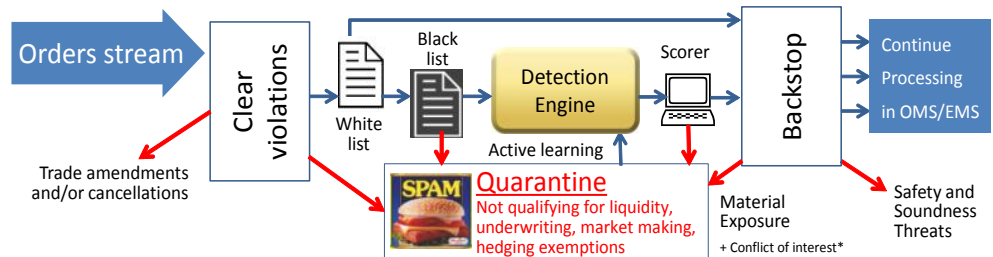
Nominated for Best Regulatory Compliance Solution

What it is?

- A system for regulatory compliance comprising of self-updating transaction monitor that ensures real-time compliance with trading regulations
- A method to perform demand forecast in establishing a securities inventory plan to be used as a basis to gauge trade activities so not to exceed the reasonably expected near term demands (RENTD) from the bank's clients, customer, and counterparties as required by the Rule
- A trade filtering mechanism to block trade activities that violate regulatory requirements
- A trade filtering mechanism that tags permitted trade orders with the respective market making, underwriting, hedging and other exceptions to the Rule
- Include: Backstop final QA check, workflow alert functionalities, and more!!

Why you should care?

Because it is Armageddon for banks that do not get any exemptions



- The Rule specifically calls for a "system of internal controls to prevent the occurrence of activities prohibited by the regulations". This also aligns with your BCBS239 initiatives.
- You no longer need a lawyer, compliance officer, doctor to detect trade intents. Also, your RENTD will be substantiated. Checking every trade should be as easy as your email spam filter.
- Investigating after your loss isn't good enough, active risk prevention is better.
- Rogue traders may synthetically create trades that can become prohibited activities and/or market manipulations. Your risk management controls need to go beyond VaR and risk caps.
- Machine learning is the way to prevent another financial crisis.
- There are huge fines already, and the Rule states that you are "guilty until proven otherwise".

Our Offerings

- Trade Monitoring
- Dynamic Assessment
- RENTD Forecast
- Correlation Study
- Quantitative Analysis
- Quarantine Suspicious
- Rosters and Workflow
- Pattern Recognition
- Manipulation Detection
- Material Exposure
- Active Learning
- Metrics, Audit Trails

SaaS Sharing

No risks are fully mitigated, and there is no end to the regulators demanding more control. It's a race to stop the prohibited before the violators stop you. Toll is needed to run the daily operations and maintain this industry utility, but you only pay for what we can value-add in the process. Network externality enhance the utility values for all crowd users. It is a concerted effort to curb rogue traders and prevent another financial crisis.

ContactUs

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DATA BOILER
TECHNOLOGIES, LLC

Combine manual oversight from risk and compliance professionals with machine learning algorithms to implement an iterative complex event monitoring system.

BIG DATA | BIG PICTURE | BIG OPPORTUNITIES

